

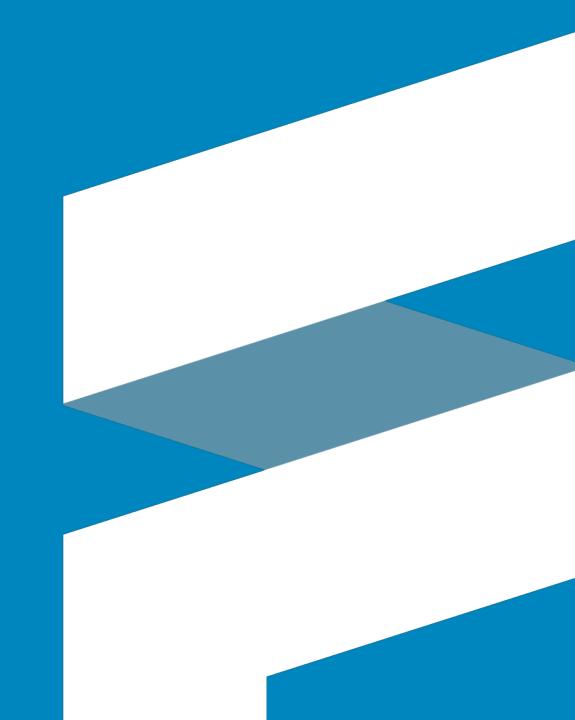
Fintech Open Source Foundation

Secure By Design: Codified Controls For Cloud Services

This talk will introduce the idea and method used by JPMorgan Chase & Co. to get cloud services approved for use in an accelerated timeline. This idea and method are now a project at FINOS and will use the collective efforts of members to build codified

## FINOS Project

Financial Delivery Accelerator (FDX) – Cloud Service Certification



## Where to find it:

#### Github:

https://github.com/finos-fdx/cloud-servicecertification

#### Google Group:

https://groups.google.com/a/finos.org/forum/#!forum/ fdx-cloud-service-certification

#### Wiki:

https://finosfoundation.atlassian.net/wiki/spaces/ FDX/pages/904626436/ Cloud+Service+Certification+Working+Group

#### Using Cloud Services at a Bank

- On-premise security controls must be adjusted for cloud security models
- How to map control frameworks to cloud service implementation?
- How to change a culture of NO into a culture of Yes.



## Why are accelerators needed?

- All financial institutions are re-inventing the wheel: Institutions have similar control frameworks, we are all trying to secure and stand up the same providers and services.
- This takes significant time and resources, delaying innovation: 6 18 months elapsed time, every institution is fact finding with cloud providers
- Results vary...: No guidance on how to implement controls, in-depth cloud service knowledge required to deliver this, we are not the cloud provider security experts

# We built a process to solve a problem.

- How do you know your process is broken?
- Why do accelerators solve for the problem?
- What does it look like?

# What artifacts make an accelerator?

- Define standard control questions for cloud service: Prior art here - Cloud Security Alliance Cloud Controls Matrix (CCM), EU-CERT initiative
- Reference security document: Document to provide detailed guidance on implementation, answering standard process questions for compliance and security review
- Implementation of service to meet controls: Write infrastructure as code to stand up service and meet control objectives (Terraform or platform agnostic code)
- Test cases to prove efficacy: BDD test cases to prove efficacy of controls

## Define standard control questions for cloud service

Security Domain	Control Standard	BDD Test Scenario
Encryption		
	Must ensure that end-to-end encryption is implemented such that data is encrypted at-rest and in-transit at all times.	Scenario: User attempts to save data without specifying encryption, should be rejected (or enforce encryption - to confirm) Scenario: User attempts to save data specifying SSE-S3 encryption, should be rejected Scenario: User attempts to save data specifying SSE-C encryption, should be rejected Scenario: User saves data to S3 bucket, validate that the cloud trail logs are updated appropriately Scenario: User creates cfn for an S3 bucket and does not reference SSE-KMS encryption, SDLC should reject the cfn Scenario: Validate encrypted objects being stored (store a known object to S3, pull HEAD object and check the KMS key ID or compare MD5 of plaintext vs ETag of the encrypted object (above and beyond - nice to have)

#### **Reference security document**

Security Domain	Control & Architectural Suggestions	References	
Security Domain	Control & Architectural Suggestions	References	
Encryption			
Encryption of data in-transit	To support SSL connections, Amazon Redshift creates and installs an <u>AWS Certificate Manager</u> ( <u>ACM</u> ) issued SSL certificate on each cluster. The set of Certificate Authorities that you must trust in order to properly support SSL connections can be found at <u>https://s3.amazonaws.com/redshift-downloads/redshift-ca- bundle.crt</u> .	1.	How to encrypt end to end: <u>https://aws.amazon.com/</u> blogs/big-data/encrypt-your-amazon-redshift-loads- with-amazon-s3-and-aws-kms/
	RedShift endpoints are available over HTTPS at a selection of regions. Best practice:	2.	To make client side encryption work follow this pattern https://docs.aws.amazon.com/AmazonS3/latest/dev/ UsingClientSideEncryption.html
	Set the "require_SSL" parameter to "true" in the parameter group that is associated with the cluster. For workloads that require FIPS-140-2 SSL compliance an additional step is required to set parameter "use_fips_ssl" to "true"	3.	https://docs.aws.amazon.com/acm/latest/userguide/ import-certificate.html

# Implementation of service to meet of the service to meet of the service to meet of the service o

"Description": "Amazon DynamoDB Template", "Metadata": { "AWS::CloudFormation::Interface": { "ParameterGroups": [

> "Label": { "default": "DynamoDB Table Settings" }, "Parameters": [ "pTableName", "pSSESpecification", "pHashKeyElementName", "pHashKeyElementType",

"pReadCapacityUnits" "pWriteCapacityUnits"

], "ParameterLabels": { "pHashKeyElementName": { "default": "Partition Key Name" }, "pHashKeyElementType": { "default": "Partition Key Type" }, "pReadCapacityUnits": { "default": "Read Capacity" }

"pWriteCapacityUnits": { "default": "Write Capacity"

## What is BDD?

- Changes how your project management approach defines work
- Defines in simple full sentences the needed outcome of the work
- Can be tested, like code
- Example Please?

## What is BDD?

Feature: Kinesis Data Streams is set up with the right security controls

Tests that Kinesis Data Streams have security controls enabled

Scenario: Connect to Kinesis over an unencrypted connection Given that I have valid AWS credentials with permissions to use Kinesis Data Streams And I have IAM permissions to read, write and modify a Kinesis Data Stream When I try to send data to Kinesis without using encryption Then it should fail



# We built a tool to solve a problem.

- Why build when you can buy?
- How do you know you have a secure by design approach?
- How do you integrate BDD into your SDLC?

We made changes along the way

 Building it yourself is not always the best idea

### End results

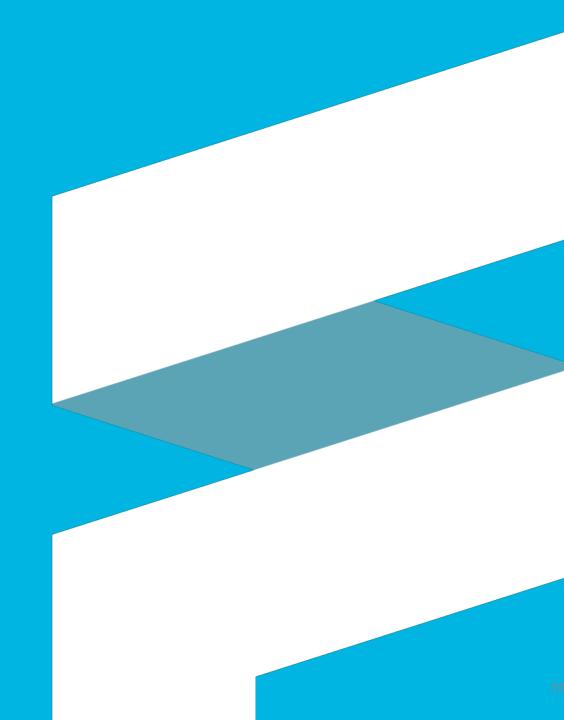
- We were able to observe shorter time from use case to service approval.
- Having a structured approach enables cloud services adoption at a more rapid pace.
- Using code for controls allowed for reuse instead of reinvention.





## **FINOS Project**

Financial Delivery Accelerator – Cloud Service Certification



## Where is this project?

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#### Value for the Community

Current State before this project

- Majority of cloud security incidents due to misconfiguration: Services are not secure by default, configuration is often complex, nuanced and difficult to validate.
- All financial institutions are re-inventing the wheel: Institutions have similar control frameworks, we are all trying to secure and stand up the same providers and services.
- This takes significant time and resources, delaying innovation: 6 18 months elapsed time, every institution is fact finding with cloud providers
- Results vary: No guidance on how to implement controls, in-depth cloud service knowledge required to deliver this, we are not the cloud provider security experts

#### Proposed State with this project

- Set quality standards across artefacts: Members of all tiers can contribute to the project and ensure a common high level of quality is delivered and in less time.
- Encourage cloud vendors to produce more industry specific content: Member Participation and public release of the Accelerators will encourage cloud vendors to project more focused and quality content for Financial Services Industry.

#### Activity Evolution in the Foundation

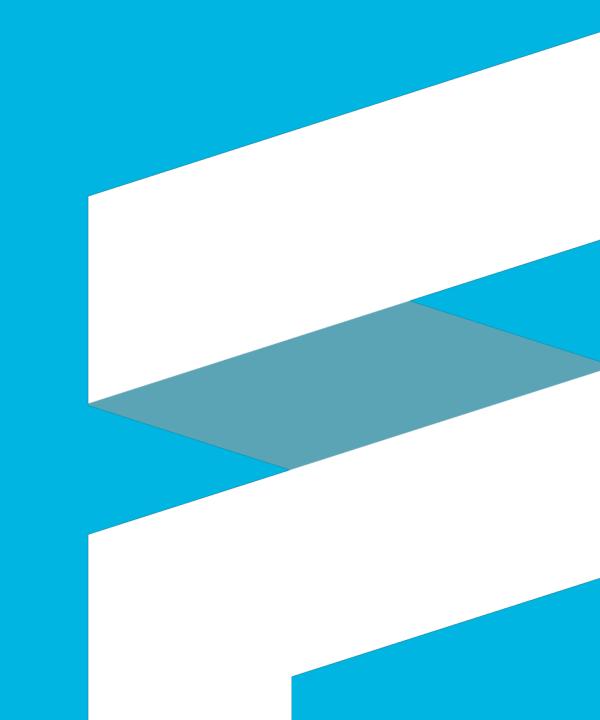
#### • Near-term focus of the Program:

- Define standard set of controls to satisfy common framework requirements
- Review existing body of work control definitions and implementations with working group members, amending to meet above controls
- Release service accelerators to community, incorporating updates
- Engage other Cloud Service Providers for contribution Google, Azure

#### **Outcomes and Impact**

- Members
  - Collaboration: Request collaboration to review the existing body of work, defining standard controls and contribute with feedback regarding the best practice implementation provided
  - Communication to other Financial institutions and regulators: Raise awareness with other institutions to contribute and influence cloud service providers to extend to other services.
  - Participation: Present controls, sample implementations and test cases to regulators as standard approach to securely configure services?
- Community at-large
  - Awareness: Raise awareness of work to reduce duplication, applying pressure to Cloud Service Providers in order to provide standardised details for future service offerings
  - Collaboration: Extended contributions would be appreciated, incorporating amendments to sample implementation of controls





Presentation Completion

Thank you for your attendance.

